



PATENT
Attorney Docket No. 041465-5113

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re Application of:

Takao SAWABE et al.

Application No.: 09/900,152

Filed: July 9, 2001

For: INFORMATION RECORDING MEDIUM,
INFORMATION RECORDING
APPARATUS AND METHOD,
INFORMATION REPRODUCING
APPARATUS AND METHOD,
COMPUTER DATA SIGNAL EMBODIED)
IN A CARRIER WAVE

Art Unit: 1772

Examiner: To Be Assigned

Commissioner for Patents
Washington, DC 20231

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Sir:

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the document listed on the attached PTO Form 1449.

To the best of the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application, and no fees are believed to be necessary.

A copy of the listed document is attached. Applicants respectfully request that the Examiner consider the listed document and evidence that consideration by making appropriate notations on the attached PTO Form 1449.

This submission does not represent that no better art exists and does not constitute an admission that the listed document is material or constitute "Prior Art." If it should be determined that the listed document does not constitute "Prior Art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

By:



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Dated: November 21, 2001

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Attorney Docket No. 041465-5113

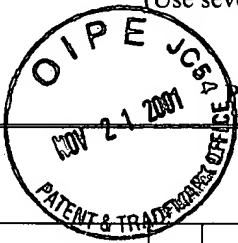
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Group Art Unit: 1772



PTO Form 1449

U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date

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FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub Class	<u>Translation</u> YES NO	
✓	EP 0 944 087 A2	September 22, 1999	EP	G11B 27	32		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.